

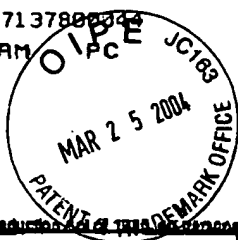
03/25/2004 12:12 7137800344  
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SOUTHWEST PATENT SVS

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281-998-1398

P. 1



PTO/SB/21 (01-03)  
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	08066,897	
	Filing Date	September 16, 2001	
	First Named Inventor	Crowther, et al.	
	Art Unit	1713	
	Examiner Name	Rob Rebagio	
Total Number of Pages in This Submission	2	Attorney Docket Number	1000U003 US CON

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavit/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Briefs Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	PTO-2036 (Credit Card Payment Form)
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.62 or 1.63		

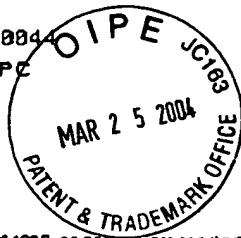
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Douglas W. Miller
Signature	<i>Douglas W. Miller</i>
Date	March 26, 2004

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Typed or printed name	Dorothy Williams
Signature	<i>Dorothy Williams</i>
Date	March 26, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 38 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1460, Alexandria, VA 22313-1460. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

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281-998-1398PAGE 02/89  
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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$180.00)

## Complete if Known

Application Number	09/955,507
Filing Date	September 18, 2001
First Named Inventor	Crowther, et al.
Examiner Name	Rob Rebago
Art Unit	1713
Attorney Docket No.	1998U033.US-CON

## METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account

Deposit Account Number  
Deposit Account Name

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☐ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 330	2003 255	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from Below	Fee Paid
Independent Claims	-20 =	X	
Multiple Dependent	-5 =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 88	2201 43	Independent claims in excess of 3	
1203 280	2203 145	Multiple dependent claim, if not paid	
1204 88	2204 43	** Release independent claims over original patent	
1205 18	2205 9	** Release claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920	1804 920	Requesting publication of SIR prior to Examiner action	
1808 1,040	1808 1,040	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 850	2253 425	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or release)	
1502 480	2502 240	Design issue fee	
1503 840	2503 320	Plant issue fee	
1450 130	1450 130	Petitions to the Commissioner	
1807 80	1807 80	Processing fee under 37 CFR 1.17(c)	
1808 180	1808 180	Submission of information disclosure sheet	180.00
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify):

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$180.00)

## EXAMINED BY

Name (Print/Type) Douglas W. Milley

Signature

Registration No.

38,608

## (Complete if applicable)

Telephone 713-780-7789

Date

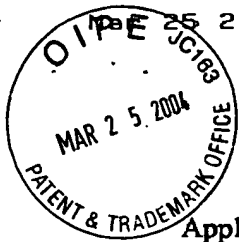
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P.5

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No. : 09/955,507  
Applicant : Crowther et al.  
Filed : September 18, 2001  
TC/A.U. : 1713  
Examiner : Rob Rábago  
  
Docket No. : 1999U033.US  
Customer No. : 25959  
Date : March 25, 2004

**Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with Title 37, Sections 1.56, 1.97 and 1.98 of the Code of Federal Regulations, and pursuant to Applicants' duty of candor and good faith toward the United States Patent and Trademark Office, the Examiner's attention is drawn to the art indicated on the attached PTO-1449 form.

Form PTO-1449 is attached to this paper listing documents submitted in the above related case. It is respectfully requested that this document be considered by the Examiner and an initialed copy of each form be returned to the Attorney of record.

This disclosure statement should not be construed as a representation that a search has been made or that no other material information, as defined in 37 CFR § 1.56(a), exists.

A copy of the document is enclosed. The document may have markings thereon. No significance is meant to be attached to the markings.

We believe that this disclosure complies with the requirements of 37 CFR § 1.56, 1.97 and 1.98 and the Manual of Patent Examining Procedures § 609. If for any reason, the Examiner

- 1 -

03/29/2004 EFL0RES 1999U033.US  
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03/25/2004 12:12 7137800044

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PAGE 05/09

Mar 25 2004 8:43AM PC

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considers the disclosure or documents to not comply with these sections, notification is respectfully requested.

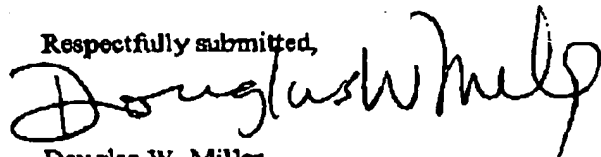
Applicants request that the Examiner contact the Applicants' Agent if there are any matters or issues outstanding.

Note is made that the correspondence should be sent to:

Douglas W. Miller  
In representation of Univation Technologies, LLC  
c/o Judith A. Kruger  
5555 San Felipe, Suite 1950  
Houston, TX 77056  
Facsimile: (713) 892-3687

However the telephone number for Douglas W. Miller is (713) 780-7799.

Respectfully submitted,



Douglas W. Miller  
Agent for Applicants  
Registration No. 36,608

Southwest Patent Services  
510 Bering Drive, Suite 300  
Houston, Texas 77057  
(713) 780-7799

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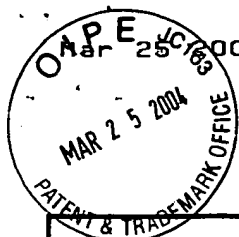
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Registration No. 36,608

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- 2 -





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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)	<b>Complete if Known</b>		
	Application Number	09/955,507	
	Filing Date	September 18, 2001	
	First Named Inventor	Crowther et al.	
	Group Art Unit	1713	
	Examiner Name	Rob Rábago	
Sheet 2 of 2	Attorney Docket Number	1999U033.US-CON	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"Bis(cyclooctatetraenyl)titanium (Uranocene), A New Class of Sandwich Complexes That Utilize Atomic f Orbitals", Stroitwieser, Jr. and Westerhoff, <i>Journal of the American Chemical Society</i> , December 18, 1968, pg. 7354	

Examiner Signature		Date Completed	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if the English language Translation is attached.

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